

TSL 1775 US

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Rec'd PCT/PTO 20 DEC 7 2004

INTERNATIONAL APPLICATION NO.
PCT / JP03 / 00746INTERNATIONAL FILING DATE
27.01.03 27 Jan 2003PRIORITY DATE CLAIMED
31.01.02 31 Jan 2002

TITLE OF INVENTION

PROCESS FOR THE PREPARATION OF SILICONE COMPOUNDS

APPLICANT(S) FOR DO/EO/US Iimura, Tomohiro et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Return receipt postcard

BEST AVAILABLE COPY

PCT / JP03 / 00746

TSL 1775 US

CALCULATIONS PTO USE ONLY

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO.....

\$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO

\$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO

\$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4)

\$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4)

\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

\$ -0-

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

\$ -0-

Total claims

12 - 20 =

-0-

x \$18.00

\$ -0-

Independent claims

3 - 3 =

-0-

x \$86.00

\$ -0-

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$290.00

\$ -0-

TOTAL OF ABOVE CALCULATIONS =

\$ -0-

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$

SUBTOTAL =

\$920.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$ -0-

TOTAL NATIONAL FEE =

\$920.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ -0-

TOTAL FEES ENCLOSED =

\$920.00

Amount to be
refunded:

\$

charged:

\$ 920.00

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.b. ☒ Please charge my Deposit Account No. 13-2492 in the amount of \$ 920.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 13-2492. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card**
information should not be included on this form. Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Robert L. McKellar
McKellar Stevens, PLLC
784 South Poseyville Road
Midland Michigan 48640Robert L. McKellar

SIGNATURE

Robert L. McKellar

NAME

26,002

REGISTRATION NUMBER

BEST AVAILABLE COPY

PTO/SB/92 (09-04)
 Approved for use through 07/31/2006. OMB 0561-0031 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Mailing under 37 CFR 1.8

004

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box
 1450 Alexandria, VA 22313-1450

On December 14, 2004

Date

Request for a filing receipt for TSL 1775 US filed July 13, 2004 entitled PROCESS FOR THE PREPARATION OF SILICONE COMPOUNDS, in the name of Imura, Tomohiro et al consisting of 1 page USPS track and confirm status showing receipt by the USPTO of the application mailing, 1 page copy of Original mailing label ER048232658US, 1 page copy of date stamped return receipt postcard, 3 page copy of original transmittal letter, 1 page copy of certificate of mailing 37 CFR 1.10, 1 page copy of USPS track and confirm status for the second mailing of the Assignment, Declaration and Power of Attorney, 1 page copy of the original mailing label ER048232763, 1 page copy of original certificate of mailing for the second mailing for August 11, 2004, 1 page letter for submission of Assignment, Declaration and Power of Attorney authorizing \$130.00 surcharge for late submission, Assignment Submission letter with authorization to charge \$40.00 for the Assignment recordation fee, 2 page copy of Assignment cover sheet, 3 page copy of Assignment, 4 page copy of Declaration and Power of Attorney, 1 page copy of return receipt postcard 1 page request for a filing receipt and new return receipt postcard on December 14, 2004.

Robert L. McKellar

Signature

Robert L. McKellar

Typed or printed name of person signing Certificate

26,002 1-989-631-4551
 Registration Number, if applicable Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.